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Attorney for Plaintiff

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF ARIZONA

Yolanda Munoz, an individual,

Plaintiff,

vs.

Cook Incorporated; Cook Medical
Incorporated; Cook Group Incorporated;
Cook Medical, LLC,

Defendants.

CASE NO. _____

COMPLAINT

JURY TRIAL DEMANDED

Plaintiff Yolanda Munoz, by and through undersigned attorneys, hereby sues
Defendants Cook Incorporated, Cook Medical Incorporated, Cook Group Incorporated,
and Cook Medical, LLC, and alleges as follows:

PARTIES

1. Plaintiff Yolanda Munoz (hereinafter “Plaintiff”) at all times relevant to this
action resided in, continues to reside in, and is a citizen of Maricopa County, Arizona.

2. Defendant Cook Incorporated was and is an Indiana corporation with its
principal place of business located at 750 Daniels Way, Bloomington, Indiana 47402. At

1 all times relevant to this action, Cook Incorporated designed, set specifications,
2 manufactured, prepared, compounded, assembled, processed, promoted, marketed,
3 distributed and/or sold the inferior vena cava filter (“IVC Filter”) known as the Gunther
4 Tulip™ Vena Cava Set (hereinafter “Cook filter”) to be implanted in patients throughout
5 the United States, including Arizona. At all times relevant hereto, Defendant Cook
6 Incorporated was engaged in business in Arizona, has conducted substantial business
7 activities, and derived substantial revenue from within the State of Arizona. This
8 Defendant has also carried on solicitations or service activities in Arizona.

11 3. Defendant Cook Medical Incorporated is a wholly owned subsidiary of
12 Defendant Cook Incorporated with its principal place of business located at 750 Daniels
13 Way, Bloomington, Indiana 47402. Defendant Cook Medical Incorporated was and is an
14 Indiana corporation authorized and/or doing business in the state of Arizona. At all times
15 relevant to this action, Cook Medical Incorporated designed, set specifications,
16 manufactured, prepared, compounded, assembled, processed, promoted, marketed,
17 distributed and/or sold the IVC Filter known as the Gunther Tulip™ Vena Cava Set to be
18 implanted in patients throughout the United States, including Arizona. At all times relevant
19 hereto, Defendant Cook Medical Incorporated was engaged in business in Arizona, has
20 conducted substantial business activities, and derived substantial revenue from within the
21 State of Arizona. This Defendant has also carried on solicitations or service activities in
22 Arizona.

27 4. Defendant Cook Group Incorporated was and is an Indiana corporation
28 having its principal place of business located at 750 Daniels Way, Bloomington, Indiana

1 47402. At all times relevant to this action, Cook Group Incorporated designed, set
2 specifications, manufactured, prepared, compounded, assembled, processed, promoted,
3 marketed, distributed, and sold the IVC Filter known as the Gunther Tulip™ Vena Cava
4 Set to be implanted in patients throughout the United States, including Arizona. At all
5 times relevant hereto, Defendant Cook Group Incorporated was engaged in business in
6 Arizona, has conducted substantial business activities, and derived substantial revenue
7 from within the state of Arizona. This Defendant has also carried on solicitations or service
8 activities in Arizona.
9
10

11 5. Defendant Cook Medical, LLC was and is an Indiana limited liability
12 corporation with its principal place of business located at 750 Daniels Way, Bloomington,
13 Indiana 47402 with its sole member being Cook Incorporated and maintains its principal
14 place of business located at 750 Daniels Way, Bloomington, Indiana 47402. At all times
15 relevant to this action, Cook Medical, LLC designed, set specifications, manufactured,
16 prepared, compounded, assembled, processed, promoted, marketed, distributed and/or sold
17 the IVC Filter known as the Gunther Tulip™ Vena Cava Set to be implanted in patients
18 throughout the United States, including Arizona. At all times relevant hereto, Cook
19 Medical, LLC was registered to do business with the state of Arizona. At all times relevant
20 hereto, Defendant Cook Medical LLC was engaged in business in Arizona, has conducted
21 substantial business activities, and derived substantial revenue from within the state of
22 Arizona. This Defendant has also carried on solicitations or service activities in Arizona.
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1 6. Defendants Cook Incorporated, Cook Medical Incorporated, Cook Group
2 Incorporated, and Cook Medical, LLC, shall be referred to herein individually by name or
3 collectively as the “Cook Defendants.”
4

5 7. At all times alleged herein, Cook Defendants include and included any and
6 all parent companies, subsidiaries, affiliates, divisions, franchises, partners, joint venturers,
7 and organizational units of any kind, their predecessors, successors, and assigns and their
8 officers, directors, employees, agents, representatives, and any and all other persons acting
9 on their behalf.
10

11 8. At all times herein mentioned, each of the Cook Defendants were the agents,
12 servants, partners, predecessors in interest, and joint venturers of each other, and were at
13 all times operating and acting with the purpose and scope of said agency, service,
14 employment, partnership, joint enterprise, and/or joint venture.
15

16 **JURISDICTION AND VENUE**
17

18 9. Jurisdiction is proper in this court under 28 U.S.C. § 1332(a)(1) because the
19 Plaintiff and the Defendants are citizens of different states, and the amount in controversy
20 exceeds seventy-five thousand dollars (\$75,000.00), exclusive of interest and costs.
21

22 10. Venue is proper in this court under 28 U.S.C. § 1391 because a substantial
23 part of the events or omissions giving rise to the claim occurred within this judicial district
24 and the Defendants regularly conduct business in this district.
25

26 **GENERAL FACTUAL ALLEGATIONS**
27

28 11. Plaintiff brings this case against the Cook Defendants because of the serious,
life-threatening injury she has suffered as a result of the Cook Defendants’ surgically

1 implanted medical device, the Cook Gunther Tulip™ filter, that was implanted by Brian
2 W. Zernich, D.O., at Banner Casa Grande Medical Center in Casa Grande, Arizona on or
3 about October 21, 2009.

4
5 12. Cook Defendants design, research, develop, manufacture, test, market,
6 advertise, promote, distribute, and sell IVC filters, which are marketed and sold as both
7 permanent and retrievable devices, purportedly to prevent recurrent pulmonary embolism.
8 One such product is the Cook Gunther Tulip™ IVC filter at issue in this case.
9

10 13. To date, there is no evidence to support the notion that IVC filters offer any
11 clinical benefit to patients.

12
13 14. Cook Defendants sought Food and Drug Administration (“FDA”) clearance
14 to market the Cook Gunther Tulip™ filter device and/or its components under Section
15 510(k) of the Medical Device Amendment.

16
17 15. On or about October of 2000, the Cook Defendants obtained FDA clearance
18 to market the Cook Gunther Tulip™ filter under Section 510(k) of the Medical Device
19 Amendment as a permanent IVC filter.

20
21 16. On or about October 31, 2003, the Cook Defendants obtained FDA clearance
22 to market the Cook Gunther Tulip™ under Section 510(k) of the Medical Device
23 Amendment as a retrievable IVC filter.

24
25 17. Section 510(k) allows marketing of medical devices if the manufacturer
26 claims the device is substantially equivalent to other legally marketed predicate devices
27 without formal review for the safety or efficacy of said device. The device is then cleared
28

1 by the FDA under Section 510(k). The Cook Defendants claimed that the Gunther Tulip™
2 filter was substantially equivalent to the Greenfield and LGM Vena Tech IVC filters.

3 18. An IVC filter, like the Cook Gunther Tulip™ filter, is a device ostensibly
4 designed and intended to filter blood clots (called “thrombi”) that would otherwise travel
5 from the lower portions of the body to the heart and lungs, resulting in a pulmonary
6 embolism (PE). IVC filters are marketed as being safe to implant, either temporarily or
7 permanently, within the vena cava.
8

9
10 19. The inferior vena cava is a vein that returns blood to the heart from the lower
11 portions of the body. In certain people, and for various reasons, thrombi travel from vessels
12 in the legs and pelvis, through the vena cava and into the heart and lungs. These thrombi
13 can develop in the deep leg veins. This condition is called “deep vein thrombosis” or DVT.
14 If the thrombi reach the lungs they are considered “pulmonary emboli” or PE.
15

16 20. The Gunther Tulip™ filter is a retrievable filter and is alleged by Cook as
17 being substantially similar to the Cook Defendants’ Gunther Tulip™ filter, its predicate
18 device.
19

20 21. The Gunther Tulip™ filter has four (4) anchoring struts for fixation with
21 webbed wires (like Tulip™ petals) between each of the anchoring struts
22

23 22. On or about October 21, 2009, Plaintiff was implanted with a Cook Gunther
24 Tulip™ IVC filter at Banner Casa Grande Medical Center in Casa Grande, Arizona, by
25 Brian W. Zernich, D.O. The Cook Gunther Tulip™ filter placed in Plaintiff was marked
26 and sold as appropriate for use as either a retrievable or a permanent filter.
27
28

1 23. Plaintiff has suffered serious injury as a result of the implantation of the Cook
2 Gunther Tulip™ filter. Specifically, several prongs of the Cook Gunther Tulip™ filter
3 have perforated Plaintiff's IVC. Struts further perforate and abut other structures in
4 Plaintiff's body. The filter has also tilted substantially. Plaintiff is at risk for future
5 progressive perforations by the Cook Gunther Tulip™ filter which could further injure
6 adjacent organs, blood vessels, and structures, as well as fracturing of the IVC filter and
7 migration of the Cook Gunther Tulip™ filter or pieces thereof. Plaintiff faces numerous
8 health risks, including the risk of death. Plaintiff will require ongoing medical care and
9 monitoring for the rest of her life. It is unknown if the filter can be retrieved by any means
10 other than an open surgical procedure.

11 24. At all times relevant hereto, the Cook Gunther Tulip™ filter was widely
12 advertised and promoted by the Cook Defendants as safe and effective for prevention of
13 recurrent pulmonary embolism.

14 25. At all times relevant to this complaint, the Cook Defendants knew or should
15 have known that the Cook Gunther Tulip™ IVC filter was defective and knew that defect
16 was attributable to the design's failure to withstand the normal anatomical and
17 physiological loading cycles exerted in vivo.

18 26. The Cook Defendants failed to disclose to physicians, patients, or Plaintiff
19 that its retrievable IVC filters, including the Cook Gunther Tulip™ filter, were subject to
20 perforation through the IVC wall, fracture, and migration or the appropriate degree of risk
21 of perforation and damage to the vena cava wall and surrounding organs, blood vessels,
22 and structures.

1 27. At all times relevant hereto, the Cook Defendants continued to promote
2 Cook's retrievable IVC filters, including the Cook Gunther Tulip™ filter, as safe and
3 effective even though the clinical trials that had been performed were not adequate to
4 support long- or short-term safety or efficacy.
5

6 28. Cook Defendants concealed the known risks and failed to warn of known or
7 scientifically knowable dangers and risks associated with the Cook retrievable IVC filters,
8 including the Cook Gunther Tulip™ filter, as aforesaid.
9

10 29. The failure of the Cook filter is attributable in part to the fact that the Cook
11 retrievable IVC filters, including the Cook Gunther Tulip™ filter, suffer from a design
12 defect causing the filters to be unable to withstand the normal anatomical and physiological
13 loading cycles exerted in vivo.
14

15 30. At all times relevant hereto, the Cook Defendants failed to provide sufficient
16 warnings and instructions that would have put Plaintiff and the general public on notice of
17 the dangers and adverse effects caused by implantation of the Cook Gunther Tulip™ filter,
18 including, but not limited to, the design's failure to withstand the normal anatomical and
19 physiological loading cycles exerted in vivo.
20

21 31. The Cook Gunther Tulip™ filter was designed, manufactured, distributed,
22 marketed, promoted, sold, and/or supplied by Cook Defendants and was marketed while
23 defective due to the inadequate warnings, instructions, labeling, and/or inadequate testing
24 in light of Cook Defendants' knowledge of the product's failure and serious adverse events.
25
26

27 32. At all times relevant hereto, the officers and/or directors of the Cook
28 Defendants named herein participated in, authorized, and/or directed the production and

1 promotion of the aforementioned products when they knew or should have known of the
2 hazardous and dangerous propensities of said products, and thereby actively participated
3 in the tortious conduct that resulted in the injuries suffered by Plaintiff.
4

5 **FRAUDULENT CONCEALMENT**

6 33. The Cook Defendants were under a continuing duty to disclose the true
7 character, quality, and nature of the device that was implanted in Plaintiff, but instead they
8 concealed them. The Cook Defendants remain under a continuing duty to disclose the true
9 character, quality, and nature of the device that was implanted in Plaintiff, but instead they
10 continue to conceal them. The Cook Defendants' conduct, as described in this complaint,
11 amounts to conduct purposely committed, which they must have realized was dangerous,
12 heedless, and reckless, without regard to the consequences or the rights and safety of
13 Plaintiff.
14

15 **CORPORATE/VICARIOUS LIABILITY**

16 34. At all times herein mentioned, the Cook Defendants were agents, servants,
17 partners, aiders and abettors, co-conspirators, and/or joint venturers, and were at all times
18 operating and acting within the purpose and scope of said agency, service, employment,
19 partnership, conspiracy, and/or joint venture and rendered substantial assistance and
20 encouragement to each other, knowing that their collective conduct constituted a breach of
21 duty owed to the Plaintiff.
22

23 35. There exists and, at all times herein mentioned, there existed a unity of
24 interest in ownership between the Cook Defendants such that any individuality and
25 separateness between them have ceased and these Defendants are alter egos. Adherence
26
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28

1 to the fiction of the separate existence of these Defendants as entities distinct from each
2 other will permit an abuse of the corporate privilege and would sanction a fraud and/or
3 would not promote injustice.
4

5 36. At all times herein mentioned, the Cook Defendants were engaged in the
6 business of, or were successors in interest to, entities engaged in the business of
7 researching, designing, formulating, compounding, testing, manufacturing, producing,
8 processing, assembling, inspecting, distributing, marketing, labeling, promoting,
9 packaging, prescribing, and/or advertising for sale, and selling products for use by the
10 Plaintiff. As such, each Defendant is individually, as well as jointly and severally, liable
11 to the Plaintiff for Plaintiff's damages.
12

13
14 37. At all times herein mentioned, the officers and/or directors of the Cook
15 Defendants named herein participated in, authorized and/or directed the production,
16 marketing, promotion, and sale of the aforementioned products when they knew, or with
17 the exercise of reasonable care and diligence should have known, of the hazards and
18 dangerous propensities of said products, and thereby actively participated in the tortious
19 conduct that resulted in the injuries suffered by the Plaintiff.
20

21
22 **COUNT I**
23 **NEGLIGENCE**

24 38. Plaintiff realleges and incorporates by reference each and every allegation
25 contained in the foregoing paragraphs as though fully set forth herein.

26 39. At all times relevant to this cause of action, the Cook Defendants were in the
27 business of designing, developing, setting specifications, manufacturing, marketing,
28

1 promoting, selling, and distributing Cook IVC filters including the Cook Gunther Tulip™
2 IVC filter.

3 40. The Cook Defendants designed, manufactured, marketed, inspected, labeled,
4 promoted, distributed, and sold the Cook Gunther Tulip™ filter that was implanted in
5 Plaintiff.
6

7 41. The Cook Defendants had a duty to exercise reasonable and prudent care in
8 the development, testing, design, manufacture, inspection, marketing, labeling, promotion,
9 distribution, and sale of Cook IVC filters, including the Gunther Tulip™ filter, so as to
10 avoid exposing others to foreseeable and unreasonable risks of harm.
11

12 42. The Cook Defendants knew or reasonably should have known that the Cook
13 Gunther Tulip™ filter was dangerous or was likely to be dangerous when used in its
14 intended or reasonably foreseeable manner.
15

16 43. At the time of manufacture and sale of the Cook Gunther Tulip™ filter (2000
17 until Present), the Cook Defendants knew or should have known that the Cook Gunther
18 Tulip™ filter was designed and manufactured so as to present an unreasonable risk of the
19 device tilting and/or perforating the vena cava wall.
20

21 44. At the time of manufacture and sale of the Cook Gunther Tulip™ filter (2000
22 until Present), the Cook Defendants knew or should have known that using the Cook
23 Gunther Tulip™ filter in its intended use or in a reasonably foreseeable manner created a
24 significant risk of a patient suffering severe health side effects, including, but not limited
25 to: hemorrhage; pericardial effusion; cardiac tamponade; cardiac arrhythmia and other
26 symptoms similar to myocardial infarction; perforations of tissue, vessels, and organs; and
27
28

1 other severe personal injuries and diseases, which are permanent in nature, including, but
2 not limited to, death, physical pain and mental anguish, scarring and disfigurement,
3 diminished enjoyment of life, continued medical care and treatment due to chronic
4 injuries/illness proximately caused by the device; and the continued risk of requiring
5 additional medical and surgical procedures including general anesthesia, with attendant
6 risk of life threatening complications.
7

8
9 45. The Cook Defendants knew or reasonably should have known that
10 consumers of the Cook Gunther Tulip™ filter would not realize the danger associated with
11 using the device in its intended use and/or in a reasonably foreseeable manner.
12

13 46. The Cook Defendants breached their duty to exercise reasonable and prudent
14 care in the development, testing, design, manufacture, inspection, marketing, labeling,
15 promotion, distribution, and sale of the Cook Gunther Tulip™ filter in, among others, the
16 following ways:
17

- 18 a. Designing and distributing a product in which they knew or should have known
19 that the likelihood and severity of potential harm from the product exceeded the
20 burden of taking safety measures to reduce or avoid harm;
- 21 b. Designing and distributing a product in which they knew or should have known
22 that the likelihood and severity of potential harm from the product exceeded the
23 likelihood of potential harm from other devices available for the same purpose;
- 24 c. Failing to use reasonable care in manufacturing the product and producing a
25 product that differed from their design or specifications or from other typical
26 units from the same production line;
- 27 d. Failing to use reasonable care to warn or instruct, including pre and post-sale,
28 Plaintiff, Plaintiff's physicians, Plaintiff's agents, or the general health care
community about the Cook Gunther Tulip™ filter's substantially dangerous
condition or about facts making the product likely to be dangerous;

- e. Failing to perform reasonable pre and post-market testing of the Cook Gunther Tulip™ filter to determine whether or not the product was safe for its intended use;
 - f. Failing to provide adequate instructions, guidelines, and safety precautions, including pre and post-sale, to those persons to whom it was reasonably foreseeable would prescribe, use, and implant the Cook Gunther Tulip™ filter;
 - g. Advertising, marketing, and recommending the use of the Cook Gunther Tulip™ filter, while concealing and failing to disclose or warn of the dangers known by Defendants to be connected with and inherent in the use of the Cook Gunther Tulip™ filter;
 - h. Representing that the Cook filter was safe for its intended use when in fact, the Cook Defendants knew and should have known the product was not safe for its intended purpose;
 - i. Continuing manufacture and sale of the Cook Gunther Tulip™ filter with the knowledge that said product was dangerous and not reasonably safe;
 - j. Failing to use reasonable and prudent care in the design, research, manufacture, and development of the Cook Gunther Tulip™ filter so as to avoid the risk of serious harm associated with the use of the Cook Gunther Tulip™ filter;
 - k. Advertising, marketing, promoting, and selling Cook Gunther Tulip™ filter for uses other than as approved and indicated in the product's label;
 - l. Failing to establish an adequate quality assurance program used in the manufacturing of the Cook Gunther Tulip™ filter; and,
 - m. Failing to establish and maintain an adequate post-market surveillance program.
 - n. Failing to conduct patient studies to determine whether the Cook Gunther Tulip™ filter offers a clinical benefit to patients.
 - o. Upon learning that IVC filters do not provide any clinical benefit to patients, defendants continued to sell its IVC filters, failed to pull them off the market, failed to notify the medical community to stop implanting its filters and failed to notify patients implanted with filters to have them removed.
47. A reasonable manufacturer, distributor, or seller under the same or similar circumstances would not have engaged in the aforementioned acts and omissions.

48. As a direct and proximate result of the foregoing negligent acts and omissions by the Cook Defendants, Plaintiff has suffered a serious medical complication for which the solution and ultimate economic loss is yet to be determined.

COUNT II
STRICT PRODUCTS LIABILITY – FAILURE TO WARN

49. Plaintiff realleges and incorporates by reference each and every allegation contained in the foregoing paragraphs as though fully set forth herein.

50. The Cook Defendants designed, set specifications, manufactured, prepared, compounded, assembled, processed, marketed, labeled, distributed, and sold the Cook filters, including the Cook Gunther Tulip™ filter implanted into Plaintiff, into the stream of commerce and in the course of same, directly advertised and marketed the device to consumers or persons responsible for consumers.

51. At the time the Cook Defendants designed, manufactured, prepared, compounded, assembled, processed, marketed, labeled, distributed, and sold the Gunther Tulip™ filter into the stream of commerce, the Cook Defendants knew or should have known the device presented an unreasonable danger to users of the product when put to its intended and reasonably anticipated use. Specifically, the Cook Defendants knew or should have known at the time they manufactured, labeled, distributed, and sold the Cook Gunther Tulip™ filter that was implanted in Plaintiff, that the Cook Gunther Tulip™ filter, *inter alia*, posed a significant and higher risk than other similar devices of device failure (fracture, migration, tilting, and perforation of the vena cava wall) and resulting serious injuries.

1 52. Consequently, the Cook Defendants had a duty to warn of the risk of harm
2 associated with the use of the device and to provide adequate instructions on the safe and
3 proper use of the device.
4

5 53. The Cook Defendants further had a duty to warn of dangers and proper safety
6 instructions that they became aware of even after the device was distributed and implanted
7 in Plaintiff.
8

9 54. Despite their duties, the Cook Defendants failed to adequately warn of
10 material facts regarding the safety and efficacy of the Cook IVC filters, including the Cook
11 Gunther Tulip™ filter, and further failed to adequately provide instructions on the safe and
12 proper use of the device.
13

14 55. No health care provider, including Plaintiff's, patient or patient's agent
15 would have used the device in the manner directed, had those facts been made known to
16 the prescribing healthcare providers and/or ultimate users of the device.
17

18 56. The health risks associated with the device as described herein are of such a
19 nature that ordinary consumers would not have readily recognized the potential harm.
20

21 57. Plaintiff and Plaintiff's health care providers used the device in a normal,
22 customary, intended, and foreseeable manner, namely as a surgically implanted device
23 used to prevent pulmonary embolisms.

24 58. Therefore, the Cook Gunther Tulip™ filter implanted in Plaintiff was
25 defective and unreasonably dangerous at the time of release into the stream of commerce
26 due to inadequate warnings, labeling and/or instructions accompanying the product.
27
28

1 59. The Cook Gunther Tulip™ filter implanted in Plaintiff was in the same
2 condition as when it was manufactured, inspected, marketed, labeled, promoted,
3 distributed, and sold by the Cook Defendants.

4
5 60. As a direct and proximate result of the foregoing negligent acts and
6 omissions by the Cook Defendants, Plaintiff has suffered a serious medical complication
7 for which the solution and ultimate economic loss is yet to be determined.
8

9 **COUNT III**
10 **STRICT PRODUCTS LIABILITY – DESIGN DEFECT**

11 61. Plaintiff realleges and incorporates by reference each and every allegation
12 contained in the foregoing paragraphs as though fully set forth herein.

13 62. At all times relevant to this action, the Cook Defendants developed, tested,
14 designed, manufactured, inspected, labeled, promoted, distributed, and sold into the stream
15 of commerce the Cook IVC filters, including the Cook Gunther Tulip™ filter implanted in
16 Plaintiff.
17

18 63. The Cook Gunther Tulip™ filter was expected to, and did, reach its intended
19 consumers without substantial change in the condition in which it was in when it left the
20 Cook Defendants' possession. In the alternative, any changes that were made to Cook filter
21 implanted in Plaintiff were reasonably foreseeable to the Cook Defendants.
22

23 64. The Cook Gunther Tulip™ filter implanted in Plaintiff was defective in
24 design because it failed to perform as safely as persons who ordinarily use the product
25 would have expected at the time of use.
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65. The Cook Gunther Tulip™ filter could have been designed and manufactured with a stop limiter avoiding unsafe perforation, tilt, and fracture.

66. The Cook Gunther Tulip™ filter implanted in Plaintiff was defective in design, in that its risks of harm exceeded its claimed benefits.

67. Plaintiff and Plaintiff's health care providers used the Cook Gunther Tulip™ filter in a manner that was reasonably foreseeable to the Cook Defendants.

68. Neither Plaintiff, nor Plaintiff's health care providers could have, by the exercise of reasonable care, discovered the device's defective condition or perceived its unreasonable dangers prior to Plaintiff's implantation with the device.

69. As a direct and proximate result of the foregoing negligent acts and omissions by the Cook Defendants, Plaintiff has suffered a serious medical complication for which the solution and ultimate economic loss is yet to be determined.

COUNT IV
STRICT PRODUCTS LIABILITY – MANUFACTURING DEFECT

70. Plaintiff realleges and incorporates by reference each and every allegation contained in the foregoing paragraphs as though fully set forth herein.

71. The Cook Defendants designed, set specifications, manufactured, prepared, compounded, assembled, processed, marketed, labeled, distributed, and sold the Cook IVC filter that was implanted into Plaintiff.

72. The Cook Gunther Tulip™ filter implanted in Plaintiff contained a condition or conditions, which Defendants did not intend, at the time it left the Cook Defendants' control and possession.

1 marketed, sold, and distributed into the stream of commerce the Gunther Tulip™ and
2 Gunther Tulip™ IVC filters for use as a surgically implanted device used to prevent
3 pulmonary embolisms and for uses other than as approved and indicated in the product's
4 instructions, warnings, and labels.
5

6 80. The Cook Defendants falsely and fraudulently represented to Plaintiff, her
7 physicians, and other members of the general public, that the Cook Gunther Tulip™ IVC
8 filter:
9

- 10 a. Has been proven to effectively prevent pulmonary embolism;
- 11 b. Was self-centering and offered efficient clot trapping;
- 12 c. Was designed to minimize the most common filter complications;
- 13 d. The anchors on the filter created secure, atraumatic attachments to the
14 caval wall;
- 15 e. Provided enhanced retrievability giving an extended time for
16 retrieval; and,
- 17 f. Could safely stay in place permanently in the body.

18 81. In the Clinical Study section of the Instructions for Use provided to the
19 physicians who were implanting the Cook Gunther Tulip™ IVC filter, including the filter
20 implanted in the Plaintiff, the Cook Defendants states a clinical study involved a 74 patient
21 cohort, with follow-up conducted at 1 month (60 of 69 possible patients), 3 months (50 of
22 58 possible patients) and 6 months (37 of 41 possible patients) consisting of clinical exam
23 and imaging by X-ray and duplex ultrasound, no device related major adverse events
24 (defined as hemorrhage, perforation, death, occlusion, filter fracture or significant filter
25 migration) occurred.
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1 82. The representations by the Cook Defendants were, in fact, false. The true
2 facts were that the Cook Gunther Tulip™ IVC filter is not safe for long term/permanent
3 surgical implantation for said purposes, it has not been proven the filter effectively prevents
4 pulmonary embolism; the filter presents a high risk of perforation through the caval wall,
5 the filter has a high risk for fracture, and the filter is not safe for permanent placement in
6 the body. In the clinic study that was presented to physicians through the instructions for
7 use, the IFU gives a false sense of safety by reporting on a subset of OUS patients regarding
8 high rates of successful retrieval rates and no complications, which has been shown to be
9 incorrect. The retrieval rates listed give a false sense of safety when the OUS study did not
10 address safety, and falsified complication and perforation rates. The Gunther Tulip™ filter
11 was and is, in fact, dangerous to the health and body of Plaintiff.

12 83. When the Cook Defendants made the aforesaid representations, and others,
13 they knew them to be false, and those representations were made by the Cook Defendants
14 with the intent to defraud and deceive Plaintiff and her physicians, and with the intent to
15 induce Plaintiff and her physicians to act in the manner herein alleged, *i.e.*, to use the Cook
16 Gunther Tulip™ IVC filter in surgery.

17 84. As a direct and proximate result of the foregoing negligent acts and
18 omissions by the Cook Defendants, Plaintiff has suffered a serious medical complication
19 for which the solution and ultimate economic loss is yet to be determined.

20
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22 **COUNT VI**
23 **NEGLIGENT MISREPRESENTATION**

24 85. Plaintiff realleges and incorporates by reference each and every allegation
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1 contained in the foregoing paragraphs as though fully set forth herein.

2 86. At all times relevant to this cause, and as detailed herein, the Cook
3 Defendants negligently provided Plaintiff, Plaintiff's health care providers, and the general
4 medical community with false or incorrect information or omitted or failed to disclose
5 material information concerning Cook IVC filters and the Cook Gunther Tulip™ filter;
6 including, but not limited to, misrepresentations relating to the safety, efficacy, failure rate
7 and approved uses of the Cook IVC filter.
8
9

10 87. The Cook Defendants falsely represented to Plaintiff, her physicians, and
11 other members of the general public, that the Cook Gunther Tulip™ IVC filter:

- 12 a. Was proven to be hemodynamically effective;
- 13 b. Has been proven to effectively prevent pulmonary embolism;
- 14 c. Was self-centering and offered efficient clot trapping;
- 15 d. Was designed to minimize the most common filter complications;
- 16 e. The anchors on the filter created secure atraumatic attachments to the
17 caval wall;
- 18 f. Provided enhanced retrievability giving an extended time for retrieval;
19 and
20 g. Could safely stay in place permanently in the body.
21

22 88. In the Clinical Study section of the Instructions for Use provided to the
23 physicians who were implanting the Cook Gunther Tulip™ IVC filter, including the filter
24 implanted in the Plaintiff, the Cook Defendants states a clinical study involved a 74 patient
25 cohort, with follow-up conducted at 1 month (60 of 69 possible patients), 3 months (50 of
26 58 possible patients) and 6 months (37 of 41 possible patients) consisting of clinical exam
27 and imaging by X-ray and duplex ultrasound, no device related major adverse events
28

1 (defined as hemorrhage, perforation, death, occlusion, filter fracture or significant filter
2 migration) occurred.

3 89. The representations by the Cook Defendants were, in fact, false. The true
4 facts were that the Cook Gunther Tulip™ IVC filter is not safe for long term/permanent
5 surgical implantation for said purposes, it has not been proven the filter effectively prevents
6 pulmonary embolism; the filter presents a high risk of perforation through the caval wall,
7 the filter has a high risk for fracture, and the filter is not safe for permanent placement in
8 the body. In the clinic study that was presented to physicians through the instructions for
9 use, the IFU gives a false sense of safety by reporting on a subset of OUS patients regarding
10 high rates of successful retrieval rates and no complications, which has been shown to be
11 incorrect. The retrieval rates listed give a false sense of safety when the OUS study did not
12 address safety, and falsified complication and perforation rates. The Gunther Tulip™ filter
13 was and is, in fact, dangerous to the health and body of Plaintiff.

14 90. The information distributed by the Cook Defendants to the public, the
15 medical community and Plaintiff's health care providers, including reports, press releases,
16 advertising campaigns, labeling materials, print advertisements, commercial media
17 containing material representations, was false and misleading, and contained omissions and
18 concealment of truth about the dangers of the use of the Cook IVC filters, including the
19 Cook Gunther Tulip™ filter. The Cook Defendants made the foregoing misrepresentations
20 knowing that they were false and/or without reasonable basis in fact. These materials
21 included instructions for use and warning document that was included in the packaging of
22 the Cook Gunther Tulip™ filter that was implanted in Plaintiff.

1 91. The Cook Defendants' intent and purpose in making these
2 misrepresentations was to deceive and defraud the public and the medical community,
3 including Plaintiff's health care providers; to gain the confidence of the public and the
4 medical community, including Plaintiff's health care providers; to falsely assure them of
5 the quality of the Cook IVC filters, including the Gunther Tulip™ IVC filter and its fitness
6 for use; and to induce the public and the medical community, including Plaintiff's
7 healthcare providers to request, recommend, prescribe, implant, purchase, and continue to
8 use Cook IVC filters, including the Cook Gunther Tulip™ filter.

11 92. In reliance upon the false and negligent misrepresentations and omissions
12 made by the Cook Defendants, Plaintiff, Plaintiff's health care providers and the Plaintiff's
13 agents were induced to, and did use the Cook Gunther Tulip™ filter, thereby causing
14 Plaintiff to sustain severe personal injuries.

16 93. The Cook Defendants knew and had reason to know that Plaintiff, Plaintiff's
17 health care providers, and the general medical community did not have the ability to
18 determine the true facts intentionally and/or negligently concealed and misrepresented by
19 the Cook Defendants and would not have prescribed and implanted same if the true facts
20 regarding the device had not been concealed and misrepresented by the Cook Defendants.

23 94. The Cook Defendants had sole access to material facts concerning the
24 defective nature of the product and its propensity to cause serious and dangerous side
25 effects in the form of dangerous injuries and damages to persons who are implanted with
26 the Cook filter.

95. At the time Cook Defendants failed to disclose and misrepresented the foregoing facts, and at the time Plaintiff used the Cook Gunther Tulip™ filter, Plaintiff, Plaintiff's health care providers and the Plaintiff's agents were unaware of said Cook Defendants' negligent misrepresentations and omissions.

96. Plaintiff, Plaintiff's health care providers, the Plaintiff's agents and general medical community reasonably relied upon misrepresentations and omissions made by the Cook Defendants where the concealed and misrepresented facts were critical to understanding the true dangers inherent in the use of the Cook Gunther Tulip™ filter.

97. Plaintiff, Plaintiff's health care provider's and Plaintiff's agents' reliance on the foregoing misrepresentations and omissions by Cook Defendants were the direct and proximate cause of Plaintiff's injuries as described herein.

COUNT VII
PUNITIVE DAMAGES

98. Plaintiff realleges and incorporates by reference each and every allegation contained in the foregoing paragraphs as though fully set forth herein.

99. The actions and inactions of all the Defendants, and or alternatively the employees or agents of Defendants, and their predecessors-in-interest, whether taken separately, or together, were of such a character as to constitute a pattern or practice of intentional wrongful conduct and/or malice resulting in the injury and damages of Plaintiff Yolanda Munoz.

100. More specifically, Defendants, or alternatively the employees or agents of Defendants, and their predecessors-in-interest, consciously and/or deliberately concealed

1 risks associated with their product and nevertheless proceeded with conscious indifference
2 to the rights, safety, and welfare of Plaintiff Yolanda Munoz by failing to act to disclose
3 these risks to her or her healthcare professionals.
4

5 101. Defendants are guilty of oppression, fraud, and/or malice, express or implied
6 for which they should be held liable in punitive damages to Plaintiff Yolanda Munoz.

7 **PRAYER FOR DAMAGES**
8

9 **WHEREFORE**, Plaintiff, Yolanda Munoz, prays for relief on the entire complaint,
10 as follows:

- 11 a. Judgment to be entered against all Defendants on all causes of action of the
12 Complaint, including but not limited to:
- 13 1. Pain and suffering;
 - 14 2. Mental anguish in the past and which, in reasonable probability, she
15 will sustain in the future; and,
 - 16 3. Reasonable and necessary medical expenses for treatment received in
17 the past and, based upon reasonable medical probability, the
18 reasonable medical expenses she will need in the future;
- 19 b. Plaintiff be awarded full, fair, and complete recovery for all claims and
20 causes of action relevant to this action;
- 21 c. Plaintiff be awarded all appropriate costs, fees, expenses, and pre-judgment
22 and post-judgment interest on the judgments entered in Plaintiff's behalf;
23 and,
- 24 d. Such other relief the court deems just and proper.

25 **DEMAND FOR JURY TRIAL**

26 Plaintiff hereby demands trial by jury on all issues.

27 <<SIGNATURE ON NEXT PAGE>>
28

1 DATED August 15, 2022.

2 Respectfully Submitted,

3 /s/ Jennifer Rethemeier

4 Jennifer Rethemeier (No. 031398)

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11 *Attorney for Plaintiff*